



Special legal information

Last updated May 2024

Please read these terms carefully

This Special Regulatory Information document (together with the documents referred to in it) provides you with important information about the regulatory framework within which LGT Wealth Management operates.

The information contained herein may be changed by us without notice and so you should check this page from time to time for any updates.

Regulatory information

LGT Wealth Management UK LLP is authorised and regulated by the Financial Conduct Authority ('FCA') and is a member of the London Stock Exchange. Our FCA registration details are set out in the FCA Register under Firm Reference number 471048 (www.fca.org.uk). LGT Wealth Management UK LLP is registered in England and Wales (registered number OC329392) and our registered office is 14 Cornhill, London EC3V 3NR.

Services

LGT Wealth Management's advisory and discretionary portfolio management services are restricted to providing clients with specialist expertise and advice on the management of investment portfolios. As a firm LGT Wealth Management is able to source investment solutions from the whole of market and we are not tied to any products or providers. However, we will not consider clients' wider financial planning and pension requirements, unless a client expressly wishes us to do so, when the client will be referred to one of our wealth planners.

Execution policies

For the LGT Wealth Management UK LLP execution policy, please [click here](#).

For the LGT Wealth Management UK Limited execution policy, please [click here](#).

Execution venues

For more information about execution venues please [click here](#).

Important risk information

You should be aware that a positive performance in the past is no guarantee of a positive performance in the future. The risk of falling prices, foreign currency losses and fluctuating returns due to exchange rates which are unfavourable for investors cannot be excluded. It is possible that investors will not get back the full amount they invested. Commissions and charges levied on the issuance and redemption of units are debited separately to each investor.

Investors are specifically advised that certain investments are highly volatile. Such investments, such as derivatives, may be subject to significant price fluctuation, which may be equal to or greater than the amount of capital invested.

Certain specific investments may not be immediately realisable. It may therefore be difficult for investors to sell an investment, realise a profit, or obtain reliable information as to its value or the degree of risk to which the investment is exposed.

When buying or selling investments involving a contingent liability, not only may you lose the amount invested, but in certain circumstances you may also be required to pay an additional amount.

Important information

LGT Wealth Management UK LLP is authorised and regulated by the Financial Conduct Authority Registered in England and Wales: OC329392. Registered office: 14 Cornhill, London, EC3V 3NR.

LGT Wealth Management Limited is authorised and regulated by the Financial Conduct Authority. Registered in Scotland number SC317950 at Capital Square, 58 Morrison Street, Edinburgh, EH3 8BP.

LGT Wealth Management Jersey Limited is incorporated in Jersey and is regulated by the Jersey Financial Services Commission in the conduct of Investment Business and Funds Service Business: 102243. Registered office: 30-32 New Street, St Helier, Jersey, JE2 3TE.

LGT Wealth Management International Limited is registered in Jersey and is regulated by the Jersey Financial Services Commission under the Financial Services (Jersey) Law 1998 (as amended) for the conduct of investment business and fund services business: 38918. Registered Office: 1st Floor, Sir Walter Raleigh House, 48-50 Esplanade, St Helier, Jersey JE2 3QB.

LGT Wealth Management (CI) Limited is registered in Jersey and is regulated by the Jersey Financial Services Commission: 5769. Registered Office: at 1st Floor, Sir Walter Raleigh House, 48 – 50 Esplanade, St Helier, Jersey JE2 3QB.

LGT Wealth Management US Limited is authorised and regulated by the Financial Conduct Authority and is a Registered Investment Adviser with the US Securities & Exchange Commission (“SEC”). Registered in England and Wales: 06455240. Registered Office: 14 Cornhill, London, EC3V 3NR.

This publication is marketing material. It is for information purposes only. Certain services described herein are not available to retail clients as defined by the FCA or the JFSC, as applicable; please speak to your investment adviser for further clarification in this regard. All services are subject to status and where local regulations permit. The wording contained in this document is not to be construed as an offer, advice, invitation or solicitation to enter into any financial obligation, activity or promotion of any kind. You are recommended to seek advice concerning suitability from your investment adviser. Any information herein is given in good faith, but is subject to change without notice and may not be accurate and complete for your purposes. This document is not intended for distribution to, or use by, any individual or entities in any jurisdiction where such distribution would be contrary to the laws of that jurisdiction or subject any LGT Wealth Management entity to any registration requirements. When we provide investment advice it is on the basis of a restricted approach that is to say, whilst we review and advise on retail investment products from the whole of the investment market.

Investors should be aware that past performance is not an indication of future performance, the value of investments and the income derived from them may fluctuate and you may not receive back the amount you originally invested.